

# Stage 2 Eligible Professional Meaningful Use Core Measures Measure 15 of 17

Last Updated: November 2013

Summary of Care	
Objective	The EP who transitions their patient to another setting of care or provider of care or refers their patient to another provider of care should provide summary care record for each transition of care or referral.
Measures	<p>EPs must satisfy both of the following measures in order to meet the objective:</p> <p>Measure 1:</p> <ul style="list-style-type: none"> <li>• The EP who transitions or refers their patient to another setting of care or provider of care provides a summary of care record for more than 50 percent of transitions of care and referrals.</li> </ul> <p>Measure 2:</p> <ul style="list-style-type: none"> <li>• The EP who transitions or refers their patient to another setting of care or provider of care provides a summary of care record for more than 10 percent of such transitions and referrals either (a) electronically transmitted using CEHRT to a recipient or (b) where the recipient receives the summary of care record via exchange facilitated by an organization that is a NWHIN Exchange participant or in a manner that is consistent with the governance mechanism ONC establishes for the NWHIN.</li> </ul> <p>Measure 3:</p> <p>An EP must satisfy one of the following criteria:</p> <ul style="list-style-type: none"> <li>• Conducts one or more successful electronic exchanges of a summary of care document, as part of which is counted in "measure 2" (for EPs the measure at §495.6(j)(14)(ii)(B) with a recipient who has EHR technology that was developed designed by a different EHR technology developer than the sender's EHR technology certified to 45 CFR 170.314(b)(2).</li> <li>• Conducts one or more successful tests with the CMS designated test EHR during the EHR reporting period.</li> </ul>
Exclusion	Any EP who transfers a patient to another setting or refers a patient to another provider less than 100 times during the EHR reporting period is excluded from all three measures.

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## Definition of Terms

**Transition of Care**—The movement of a patient from one setting of care (hospital, ambulatory primary care practice, ambulatory, specialty care practice, long-term care, home health, rehabilitation facility) to another. At a minimum this includes all transitions of care and referrals that are ordered by the EP.

**Summary of Care Record**—A summary of care record must include the following elements:



- Patient name.
- Referring or transitioning provider's name and office contact information (EP only).
- Procedures.
- Encounter diagnosis
- Immunizations.
- Laboratory test results.
- Vital signs (height, weight, blood pressure, BMI).
- Smoking status.
- Functional status, including activities of daily living, cognitive and disability status
- Demographic information (preferred language, sex, race, ethnicity, date of birth).
- Care plan field, including goals and instructions.
- Care team including the primary care provider of record and any additional known care team members beyond the referring or transitioning provider and the receiving provider.
- Reason for referral
- Current problem list (EPs may also include historical problems at their discretion).
- Current medication list, and
- Current medication allergy list.

**Problem List**—At a minimum a list of current, active and historical diagnoses. We do not limit the EP to just including diagnoses on the problem list.

**Active/current medication list** – A list of medications that a given patient is currently taking.

**Active/current medication allergy list**— A list of medications to which a given patient has known allergies.

**Allergy** —An exaggerated immune response or reaction to substances that are generally not harmful.

**Care Plan**—The structure used to define the management actions for the various conditions, problems, or issues. A care plan must include at a minimum the following components: problem (the focus of the care plan), goal (the target outcome) and any instructions that the provider has given to the patient. A goal is a defined target or measure to be achieved in the process of patient care (an expected outcome).

## Attestation Requirements

DENOMINATOR/NUMERATOR/THRESHOLD/EXCLUSION

MEASURE 1:

- DENOMINATOR: Number of transitions of care and referrals during the EHR reporting period for which the EP was the transferring or referring provider.
- NUMERATOR: The number of transitions of care and referrals in the denominator where a summary of care record was provided.
- THRESHOLD: The percentage must be more than 50 percent in order for an EP to meet this measure.

- **EXCLUSION:** Any EP who transfers a patient to another setting or refers a patient to another provider less than 100 times during the EHR reporting period is excluded from all three measures.

**MEASURE 2:**

- **DENOMINATOR:** Number of transitions of care and referrals during the EHR reporting period for which the EP was the transferring or referring provider.
- **NUMERATOR:** The number of transitions of care and referrals in the denominator where a summary of care record was a) electronically transmitted using CEHRT to a recipient or b) where the recipient receives the summary of care record via exchange facilitated by an organization that is a NwHIN Exchange participant or in a manner that is consistent with the governance mechanism ONC establishes for the nationwide health information network. The organization can be a third-party or the sender's own organization.
- **THRESHOLD:** The percentage must be more than 10 percent in order for an EP to meet this measure.
- **EXCLUSION:** Any EP who transfers a patient to another setting or refers a patient to another provider less than 100 times during the EHR reporting period is excluded from all three measures.

**MEASURE 3:**

YES/NO

The EP attests YES to one of the two criteria:

1. Conducts one or more successful electronic exchanges of a summary of care document, as part of which is counted in "measure 2" (for EPs the measure at §495.6(j)(14)(ii)(B) with a recipient who has EHR technology that was developed designed by a different EHR technology developer than the sender's EHR technology certified to 45 CFR 170.314(b)(2).

**or**

2. Conducts one or more successful tests with the CMS designated test EHR during the EHR reporting period.
- **EXCLUSION:** Any EP who transfers a patient to another setting or refers a patient to another provider less than 100 times during the EHR reporting period is excluded from all three measures.

**Additional Information**

- Only patients whose records are maintained using certified EHR technology must be included in the denominator for transitions of care.
- The EP that transfers or refers the patient to another setting of care or provider should provide the summary of care document. It is for this provider that has the most recent information on the patient that may be crucial to the provider to whom the patient is transferred or referred.
- The EP can send an electronic or paper copy of the summary care record directly to the next provider or can provide it to the patient to deliver to the next provider, if the patient can reasonably be expected to do so and meet Measure 1.



- If the provider to whom the referral is made or to whom the patient is transitioned to has access to the medical record maintained by the referring provider then the summary of care record would not need to be provided, and that patient must not be included in the denominator for transitions of care.
- To count in the numerator of any measure, the EP must verify these three fields for current problem list, current medication list, and current medication allergy list are not blank and include the most recent information known by the EP or hospital as of the time of generating the summary of care document.
- To count in the numerator of measure 2, the summary of care record must be received by the provider to whom the sending provider is referring or transferring the patient.
- To count in the numerator of measure 2, one of the following three transmission approaches must be used:
  - Use of the transport standard capability required for certification. As required by ONC to meet the CEHRT definition, every EP, eligible hospital, and CAH, must have EHR technology that is capable of electronically transmitting a summary care record for transitions of care and referrals according to the primary Direct Project specification (the Applicability Statement for Secure Health Transport). Thus, EPs, eligible hospitals, or CAHs that electronically transmit summary care records using their CEHRT's "Direct" capability (natively or combined with an intermediary) would be able to count all such electronic transmissions in their numerator.
  - Use of the SOAP-based optional transport standard capability permitted for certification. As part of certification, ONC permits EHR technology developers to voluntarily seek certification for their EHR technology's capability to perform SOAP-based electronic transmissions. EHR technology developers who take this approach would enable their customers to also use this approach to meet the measure. Thus, EPs, eligible hospitals, or CAHs that electronically transmit summary care records using their CEHRT's "SOAP-based" capability (natively or combined with an intermediary) would be able to count all of those transmissions in their numerator.
  - Use of CEHRT to create a summary care record in accordance with the required standard (i.e., Consolidated CDA as specified in 45 CFR 170.314(b)(2)), and the electronic transmission is accomplished through the use of an eHealth Exchange participant who enables the electronic transmission of the summary care record to its intended recipient. Thus, EPs, eligible hospitals, or CAHs who create standardized summary care records using their CEHRT and then use an eHealth Exchange participant to electronically transmit the summary care record would be able to count all of those transmissions in their numerator. [See related FAQ.](#)
- In order to meet this objective and measure, an EP must use the capabilities and standards of CEHRT at 45 CFR 170.314(b)(1), (b)(2), (g)(1), and (g)(2).

## Certification and Standards Criteria

Below is the corresponding certification and standards criteria for electronic health record technology that supports achieving the meaningful use of this objective.

Certification Criteria	
<p><b>§170.314 (b) (1)</b> Transitions of care – receive, display, and incorporate transition of care/referral summaries</p>	<ul style="list-style-type: none"> <li>(i) Receive. EHR technology must be able to electronically receive transition of care/referral summaries in accordance with:               <ul style="list-style-type: none"> <li>A. The standard specified in § 170.202(a).</li> <li>B. Optional. The standards specified in § 170.202(a) and (b).</li> <li>C. Optional. The standards specified in § 170.202(b) and (c).</li> </ul> </li> <li>(ii) Display. EHR technology must be able to electronically display in human readable format the data included in transition of care/referral summaries received and formatted according to any of the following standards (and applicable implementation specifications) specified in: § 170.205(a)(1), § 170.205(a)(2), and § 170.205(a)(3).</li> <li>(iii) Incorporate. Upon receipt of a transition of care/referral summary formatted according to the standard adopted at § 170.205(a)(3), EHR technology must be able to:               <ul style="list-style-type: none"> <li>A. Correct patient. Demonstrate that the transition of care/referral summary received is or can be properly matched to the correct patient.</li> <li>B. Data incorporation. Electronically incorporate the following data expressed according to the specified standard(s):                   <ul style="list-style-type: none"> <li>▪ Medications. At a minimum, the version of the standard specified in § 170.207(d)(2);</li> <li>▪ Problems. At a minimum, the version of the standard specified in § 170.207(a)(3);</li> <li>▪ Medication allergies. At a minimum, the version of the standard specified in § 170.207(d)(2).</li> <li>▪ Section views. Extract and allow for individual display each additional section or sections (and the accompanying document header information) that were included in a transition of care/referral summary received and formatted in accordance with the standard adopted at § 170.205(a)(3).</li> </ul> </li> </ul> </li> </ul>
<p><b>§ 170.314(b)(2)</b> Transitions of care – create and transmit transition of care/referral summaries</p>	<ul style="list-style-type: none"> <li>(i) Create. Enable a user to electronically create a transition of care/referral summary formatted according to the standard adopted at § 170.205(a)(3) that includes, at a minimum, the Common MU Data Set and the following data expressed, where applicable, according to the specified standard(s):               <ul style="list-style-type: none"> <li>A. Encounter diagnoses. The standard specified in § 170.207(i) or, at a minimum, the version of the standard specified § 170.207(a)(3);</li> <li>B. Immunizations. The standard specified in § 170.207(e)(2);</li> <li>C. Cognitive status;</li> <li>D. Functional status; and</li> <li>E. The reason for referral; and referring or transitioning provider’s name and office contact information.</li> </ul> </li> <li>(ii) Transmit. Enable a user to electronically transmit the transition of care/referral summary created in paragraph (b)(2)(i) of this section in accordance with:               <ul style="list-style-type: none"> <li>A. The standard specified in § 170.202(a).</li> </ul> </li> </ul>

- B. Optional. The standards specified in § 170.202(a) and (b).
- C. Optional. The standards specified in § 170.202(b) and (c).

*\*Depending on the type of certification issued to the EHR technology, it will also have been certified to the certification criterion adopted at 45 CFR 170.314 (g)(1), (g)(2), or both, in order to assist in the calculation of this meaningful use measure.*

Standards Criteria	
§ 170.202(a) Transport standards	ONC Applicability Statement for Secure Health Transport (incorporated by reference in § 170.299).
§ 170.202(b) Transport standards	ONC XDR and XDM for Direct Messaging Specification (incorporated by reference in § 170.299).
§ 170.202(c) Transport standards	ONC Transport and Security Specification (incorporated by reference in § 170.299).
§ 170.205(a)(1)	HL7 Implementation Guide for CDA® Release 2: IHE Health Story Consolidation, (incorporated by reference in § 170.299). The use of the “unstructured document” documentlevel template is prohibited.
§170.207(a)(3) Problem List	IHTSDO SNOMED CT® International Release July 2012 (incorporated by reference in § 170.299) and US Extension to SNOMED CT® March 2012 Release (incorporated by reference in § 170.299).
§170.207(b)(2)	The code set specified at 45 CFR 162.1002(a)(5).
§170.207(b)(3)	The code set specified at 45 CFR 162.1002(a)(5).
§170.207(b)(4)	The code set specified at 45 CFR 162.1002(a)(5).
§170.207(c)(2)	The code set specified at 45 CFR 162.1002(a)(5).
§170.207(c)(2) Laboratory tests	Logical Observation Identifiers Names and Codes (LOINC®) Database version 2.40, a universal code system for identifying laboratory and clinical observations produced by the Regenstrief Institute, Inc. (incorporated by reference in § 170.299).
§170.207(d)(2) Medications	RxNorm, a standardized nomenclature for clinical drugs produced by the United States National Library of Medicine, August 6, 2012 Release (incorporated by reference in § 170.299)
§170.207(e)(2) Immunizations	HL7 Standard Code Set CVX – Vaccines Administered, updates through July 11, 2012.
§170.207(f) Race and Ethnicity	The Office of Management and Budget Standards for Maintaining, Collecting, and Presenting Federal Data on Race and Ethnicity, Statistical Policy Directive No. 15, as revised, October 30, 1997 (see “Revisions to the Standards for the Classification of Federal Data on Race and Ethnicity,” available at <a href="http://www.whitehouse.gov/omb/fedreg_1997standards">http://www.whitehouse.gov/omb/fedreg_1997standards</a> )
§170.207(g) Preferred Language	As specified by the Library of Congress, ISO 639-2 alpha-3 codes limited to those that also have a corresponding alpha-2 code in ISO 639-1. (incorporated by reference in § 170.299).

## Standards Criteria

### §170.207(h) Smoking Status

Smoking status must be coded in one of the following SNOMED CT® codes:

- (1) Current every day smoker. 449868002
- (2) Current some day smoker. 428041000124106
- (3) Former smoker. 8517006
- (4) Never smoker. 266919005
- (5) Smoker, current status unknown. 77176002
- (6) Unknown if ever smoked. 266927001
- (7) Heavy tobacco smoker. 428071000124103
- (8) Light tobacco smoker. 428061000124105

### §170.207(i) Encounter Diagnoses

The code set specified at 45 CFR 162.1002(c)(2) for the indicated conditions.